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MESSAGE:

Applicants: G.Grady McBride, et al.
Serial No.: 09/684,218
Filing Date: October 6, 2000
Title: ADJUSTABLE TRANSVERSE CONNECTOR
Attorney Docket No.: 5259-04800US01

Enclosed herewith for the patent application identified above entitled ADJUSTABLE TRANSVERSE CONNECTOR are the following:

1. Power of Attorney and Change of Correspondence Address Indication Form with Attachment A listing application/patent number **SEE PAGE 4 OF ATTACHMENT A** (6 Pages)
2. Statement Under 27 CFR 3.73(b) with Attachment A listing application, patent, reel, and frame number **SEE PAGE 4 OF ATTACHMENT A** (6 Pages)
3. Notification of Loss of Entity with Attachment A listing application/patent number **SEE PAGE 4 OF ATTACHMENT A** (6 Pages)

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INDICATION FORM**

Application Number	See Attachment A
Filing Date	See Attachment A
First Named Inventor	See Attachment A
Title	See Attachment A
Art Unit	
Examiner Name	
Attorney Docket Number	See Attachment A

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☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record (if assignee, put name, title and company name in the "Name" space below)

Name Jeffrey R. Binder

Signature

Date

Telephone (512) 918-2700

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attachment A**

Serial Number	Filed Date	Status	Publication Date	Publication Number	Attorney Designation	Title	Attorney Name	Registration Number	Recorded Date
09/691279	10/17/2000	Granted	5/13/2003	6562040	5259-00100USC1	Spinal Fixation System	Erik J. Wagner	008359/0658	Recorded 1/21/97
09/093756	6/9/1998	Granted	10/17/2000	8132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	008359/0658	Recorded 1/21/97
09/434324	11/4/1989	Filed			5259-00100USD2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008359/0658 008802/0192 12/31/97, 014210/0803	Recorded 6/26/03
08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner	008359/0658	Recorded 1/21/97
08/942325	10/11/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192	Recorded 12/31/97
09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	014210/0603	Recorded 6/26/03
09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler	009803/0209	Recorded 11/23/98; 008756/0146 Recorded 10/14/97
09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Houchschuler	009803/0209	Recorded 11/23/98
08/847172	5/11/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0148	Recorded 10/14/97
09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848	Recorded 8/31/98
09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	009422/0983	Recorded 8/24/98
09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0594	Recorded 2/9/98
09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	008985/0594	Recorded 2/9/98
09/819127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	008985/0594	Recorded 2/9/98
09/086186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	008351/0189 008874/0023	Recorded 7/21/98 Recorded 4/2/99
09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner	010396/0094	Recorded 11/22/99

**Power of Attorney and Change of Correspondence
Attachment A**

Patent No.	Filing Date	Status	Issue Date	Patent No.	Applicant/Assignor	Title	Inventor	Recorded
10/038012	12/26/2001	Filed			5259-00600USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	008450/0947 Recorded 9/11/98
09/089027	6/21/998	Granted	9/24/2002		6454769	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	008450/0947 Recorded 9/11/98
09/085280	6/10/1998	Granted	2/29/2000		6030389	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	008450/0959 Recorded 9/11/98
08/896281	7/16/1997	Granted	7/27/1999		5928243	Pedicle Probe and Depth Gauge	Richard D. Guyer	008932/0159 Recorded 1/30/98
08/159303	9/23/1998	Granted	11/14/2000		6146382	Occipito-Cervical Stabilization System and Method	John R. Hurlbert	009667/0352 Recorded 12/23/98
09/070323	4/30/1998	Granted	8/8/2000		6099527	Bone Protector and Method	Stephen Houchschuler	009650/0211 Recorded 11/9/98
09/059162	4/13/1998	Granted	5/16/2000		6063089	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998		5776135	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000		6139548	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/549977	10/30/1995	Granted	5/19/1998		5752955	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999		5961518	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/261977	3/4/1999	Granted	1/25/2000		6017344	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98

Patent No.	Inventor	Status	Date of Issue	Class	Title	Abstract	Notes
08/856773	Joseph P. Enrico	Granted	7/26/1998	5785711	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	009275/0021 Recorded 6/30/98
08/880810	Joseph P. Enrico	Granted	9/22/1998	5810819	5259-02300USP1	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	009275/0021 Recorded 6/30/98
09/070268	Joseph P. Enrico	Granted	12/7/1999	5997539	5259-02300USP2	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	009275/0021 Recorded 6/30/98
08/622891	Joseph P. Enrico	Granted	8/5/1997	5663763	5259-02500USC1	Intervertebral Space Shape Conforming Cage Device	009490/0692 Recorded 10/15/98
08/567144	James P. Enrico	Granted	9/16/1997	5667507	5259-02600USC1	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	009490/0692 Recorded 10/5/98
08/632561	Joseph P. Enrico	Granted	1/20/1998	5709684	5259-02600USP1	Advanced Compression Locking Variable Length Cross-Link Device	8490/0692 Recorded 10/5/98
09/229287	Erik J. Wagner	Granted	9/26/2000	6123707	5259-03000USC1	Reduction Instrument	009840/0875 Recorded 3/2/99
09/298269	Erik J. Wagner	Granted	9/3/2002	6442814	5259-03100USC1	Apparatus for Manufacturing a Bone Dowel	010085/0138 Recorded 7/12/99
09/369964	Erik J. Wagner	Granted	5/6/2003	6557226	5259-03100USP1	Apparatus for Manufacturing a Bone Dowel	010347/0747 Recorded 10/29/99
09/532387	Erik J. Wagner	Granted	5/20/2003	6555566	5259-03600USC1	Sacral Screw Assembly and Method	011073/0752 Recorded 9/13/00
10/015206	Jim Freid	Filed			5259-03700USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	010732/0443 Recorded 4/20/00
10/735976	Jim Freid	Filed			5259-03700USC2	System and Method for Stabilizing the Human Spine with a Bone Plate	010732/0443 Recorded 4/20/00

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Applicant No.	Filing Date	Status	Issue Date	Pat. No.	Applicant Name	Attorney Name	Attorney Address
09/479458	1/6/2000	Granted	12/18/2001	6331179	5259-03700USO1	Jim Freid	010732/0443 Recorded 4/20/00
09/881758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Michael E. Landry	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Michael E. Landry	012032/0283 Recorded 7/31/01 013006/0228 Recorded 5/23/02
10/387329	3/11/2003	Filed			5259-04500USO1	Michael E. Landry	014380/0261 Recorded 8/11/03
10/387363	3/11/2003	Filed			5259-04500USC2	Michael E. Landry	014403/0784 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USC3	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Robert J. Jones	012557/0740 Recorded 1/30/02
09/884628	10/6/2000	Filed			5259-04700USO1	Grady G. McBride	011515/0894 Recorded 2/12/01
*09/884218	10/6/2000	Filed			5259-04800USO1	Grady G. McBride	011518/0837 Recorded 2/12/01
09/660756	10/6/2000	Filed			5259-04900USO1	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed			5259-07800USO1	David J. Krueger	014157/0620 Recorded 6/6/03
10/200024	7/19/2002	Filed			5259-07800USO1	Margaret E. Mitchell	013449/0035 Recorded 10/29/02
10/291245	11/8/2002	Filed			5259-08300USO1	Javier Garcia-Benighoa	013786/0202 Recorded 2/24/03

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Attachment A**

Application No.	Filed Date	Status	Subject	Class	Priority	Inventor	Attorney
10/291223	11/8/2002	Filed			5259-08300US02	Instruments and Methods for Inserting a Spinal Implant	Javier Garcia-Benchoa
10/358391	1/31/2003	Filed			5259-09200US01	Spinal Plate Extender System and Method	Jim Freid
10/351283	1/23/2003	Filed			5259-09900US01	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid
10/351286	1/24/2003	Filed			5259-09900US02	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid
29/155057	2/1/2002	Filed			5259-10000US01	Extension Plate	Jim Freid
10/698049	10/30/2003	Filed			5259-10700US01	Bone Fastener Assembly for a Spinal Stabilization System	Michael E. Landry
10/688010	10/30/2003	Filed			5259-10700US02	Spinal Stabilization Systems and Methods Using Minimally Invasive Surgical Procedures	Michael E. Landry
10/697793	10/30/2003	Filed			5259-10700US03	Spinal Stabilization Systems and Methods	Michael E. Landry
10/698046	10/30/2003	Filed			5259-10800US01	Spinal Stabilization System Using Flexible Members	Michael E. Landry
10/698966	10/31/2003	Filed			5259-11100US01	Spinal Disc Implant	James F. Marino
10/699618	10/31/2003	Filed			5259-11300US01	Movable Disc Implant	David J. Krueger
10/331181	12/27/2002	Filed			5259-12300USP1	Pseudo Arthroslis Device	Reginald J. Davis

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Spinal Concepts, Inc.Application No./Patent No.: See Attachment A Filed/Issue Date: See Attachment AEntitled: See Attachment ASpinal Concepts, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

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(See Attachment A)

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date

(512) 918-2700

Telephone number

Jeffrey R. Blinder

Typed or printed name

Signature

Vice President, Spinal Concepts, Inc.

Title

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